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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/762043-Conf. #4844 Filing Date **TRANSMITTAL** January 21, 2004 First Named Inventor **FORM** Stephen J. Todd, et al. Art Unit 2132 (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket Number 5 Total Number of Pages in This Submission E0295,70202US00 **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address X Other Enclosure(s) (please Identify below): Extension of Time Request Terminal Disclaimer Return Receipt Postcard **Express Abandonment Request** Request for Refund x Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name WOLF, GREENFIELD & SACKS, P.C. Signature Printed name Richard F. Giunta Date Reg. No. May 2006 36,149 Certificate of Mailing Under 37 CFR 1.8(a) I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature:



DOCKET NO.: E0295.70202US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Stephen J. Todd, et al.

Serial No.:

10/762043

Confirmation No.:

4484

Filed:

January 21, 2004

For:

METHODS AND APPARATUS FOR SECURE

MODIFICATION OF A RETENTION PERIOD FOR DATA

IN A STORAGE SYSTEM

Examiner:

Not yet assigned

Art Unit:

2132

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the ______ day of May, 2006.

Eileen MacKenzie

MAIL STOP Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action on the merits in the above-identified case. No fee or certification is required.

Conf. No.: 4484

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

Serial No.	Filing Date	Atty. Docket No.	Inventor(s)
10/731790	12/9/03	E0295.70190US00	Stephen J. Todd, et al.
10/762044	1/21/04	E0295.70195US00	Stephen J. Todd, et al.
10/761826	01/21/04	E0295.70200US00	Stephen J. Todd, et al.
10/762036	01/21/04	E0295.70201US00	Stephen J. Todd, et al.
10/910985	08/04/04	E0295.70208US00	Stephen J. Todd, et al.
10/911248	08/44/04	E0295.70212US00	Stephen J. Todd, et al.
11/022077	12/23/04	E0295.70229US00	Stephen J. Todd, et al.
11/034737	01/12/05	E0295.70238US00	Stephen J. Todd, et al.

Several of the above-referenced applications include subject matter that may be related to the present application, and some share a specification with the present application. The examiners handling the other applications have been or will be searching for relevant prior art, and in some circumstances may be taking positions about which art shows features that are similar to at least some of the features recited in the claims of the present application. Therefore, the Examiner is invited to review the file histories of the above-referenced applications to see whether there is any information that the Examiner believes may be relevant. In this respect, it is assumed that the Examiner has access to each of those files. However, if the Examiner would like any information about any of those pending applications, Applicants would be happy to provide it.

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PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Richard F. Giunta, Reg. No. 36,149 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: E0295.70202US00

Date: May ____, 2006

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FORM PUT	244494034nd B (m	nodified	PTO/SR/08)	APPLICATION NO.:	10/762043	ATTY. DOCKET NO	o.: E0295.70202US00
1				FILING DATE: Janu	ary 21, 2004	CONFIRMATION N	O.: 4844
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT:	Stephen J. Todd, e	t al.			
Sheet	1	of	1	GROUP ART UNIT:	2132	EXAMINER:	Not yet assigned
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U.S. PATENT DOCUMENTS

Examiner's	Cite	U.S. Patent Doc	ument	Name of Patentee or Applicant of Cited	Date of Publication or Issue	
Initials #	No.	Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
,		2005/0055518	Al	Hochberg et al.	03/10/05	
		2005/0055519	A1	Stuart et al.	03/10/05	
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FOREIGN PATENT DOCUMENTS

Examiner's	Cite	Forei	oreign Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of	Translation
Initials #	No.	Office/ Country	Number	Kind Code	Document	Cited Document MM-DD-YYYY	(Y/N)
	<u> </u>						

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

EXAMINER:	DATE CONSIDERED:

[#] EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.